

## Board Meeting Agenda

Russ Baggerly, Director  
Mary Bergen, Director  
Bill Hicks, Director

Pete Kaiser, Director  
James Word, Director

CASITAS MUNICIPAL WATER DISTRICT  
September 10, 2014  
3:00 P.M. – DISTRICT OFFICE

Right to be heard: Members of the public have a right to address the Board directly on any item of interest to the public which is within the subject matter jurisdiction of the Board. The request to be heard should be made immediately before the Board's consideration of the item. No action shall be taken on any item not appearing on the agenda unless the action is otherwise authorized by subdivision (b) of ¶54954.2 of the Government Code and except that members of a legislative body or its staff may briefly respond to statements made or questions posed by persons exercising their public testimony rights under section 54954.3 of the Government Code.

1. Public Comments (items not on the agenda – three minute limit).
2. General Manager comments.
3. Board of Director comments.
4. Consent Agenda
  - a. Minutes of August 27, 2014 Meeting.
  - b. Recommend approval of Leak Relief in the amount of \$782.59 to Scott Rasmussen.
  - c. Resolution awarding a contract for the Ojai 3M Pump Plant Electrical Upgrades Specification 14-371 to Oilfield Electric and Motor Inc. in the amount of \$99,500.
  - d. Recommend approval of a purchase order to Consulting West in the amount of \$31,200 for electrical engineering services for the upgrade of Avenue 2 Pump Plant's electrical system.
  - e. Recommend approval of a purchase order to Consulting West in the amount of \$19,900 for electrical engineering services to assess and troubleshoot the plant's power generator.

RECOMMENDED ACTION: Adopt Consent Agenda

5. Bills

6. Recommend authorizing the President of the Board to sign the Agreement in Principle, on behalf of the District and subcontractors for Ventura County's State Water.

RECOMMENDED ACTION: Motion approving recommendation

7. Resolution authorizing staff to apply for a grant from the California Department of Parks and Recreation, Division of Boating and Waterways to prevent the infestation of Quagga and Zebra Mussels in Lake Casitas.

RECOMMENDED ACTION: Adopt Resolution

8. Resolution setting a public hearing for consideration of an adjustment of 5% to Board of Director's compensation.

RECOMMENDED ACTION: Adopt Resolution

9. LAFCo Call for Nominations for Independent Special District Alternate Member.

RECOMMENDED ACTION: Direction from Board

10. Information Items:

- a. Executive Committee Minutes
- b. Water Consumption Report.
- c. CFD No. 2013-1 (Ojai) Monthly Cost Analysis.
- d. Investment Report.

11. Adjournment

If you require special accommodations for attendance at or participation in this meeting, please notify our office 24 hours in advance at (805) 649-2251, ext. 113. (Govt. Code Section 54954.1 and 54954.2(a).

Minutes of the Casitas Municipal Water District  
Board Meeting Held  
August 27, 2014

A meeting of the Board of Directors was held August 27, 2014 at Casitas' Office, Oak View, California. The meeting was called to order at 3:00 p.m. Directors Hicks, Bergen, Kaiser, and Baggerly were present. Director Word was absent. Also present were Steve Wickstrum, General Manager, Rebekah Vieira, Clerk of the Board, and Attorney, John Mathews. There were two staff members and six members of the public in attendance. President Hicks led the group in the flag salute.

1. Public Comments (items not on the agenda – three minute limit).

Bob Daddi spoke regarding well permits that were discussed at the Ojai City Council meeting. Additionally, he is urging the Ojai City Council to have a liaison with the Casitas Board.

2. General Manager comments.

Mr. Wickstrum explained that there was a leak on the Rincon Main in an obscure area. We will probably bring a pipeline replacement project back for approval in the future. Staff and an outside contractor did an excellent job to repair this difficult leak. We are being challenged with changes in lake water quality. Turnover is happening right now. Staff have done an excellent job to prevent plant issues and provide the flows to the citizens demanding water from us. We have moved forward to treat algae and had some circumstances working with Clean Lakes to get a clean boat and we got the lake treatment yesterday.

Mr. Wickstrum reported on his presentation to the Ojai Rotary on August 19<sup>th</sup> regarding water supply issues. Last night, Ron Merckling and I attended a meeting at the request of the City of Ventura's water shortage task force to discuss water supply.

3. Board of Director comments.

Director Baggerly asked to schedule a Quagga Ad Hoc meeting for Friday, August 29<sup>th</sup> at 2:30 p.m.

Director Bergen complimented staff in that they caught a leak that would not have been found if they had not called. They were very professional.

Director Kaiser asked if we are continuing to issue will serve letters in while we continue in this period of drought. Mr. Wickstrum explained it is always an issue that we have adequate water supply in issuing those will serve letters. We only issue a couple a year. Director Baggerly asked if a moratorium is part of stage two conservation measure. Mr. Wickstrum answered no.

President Hicks reminded the board that if you are going to be in the paper or be quoted, be careful on what you say.

4. Consent Agenda

ADOPTED

- a. Minutes of August 13, 2014 Meeting.

On the motion of Director Kaiser, seconded by Director Baggerly and passed with the following roll call vote:

AYES:	Directors:	Baggerly, Kaiser, Hicks
NOES:	Directors:	None
ABSENT:	Directors:	Word
ABSTAIN:	Directors:	Bergen

5. Bills

APPROVED

Director Bergen asked about #018834 for Hurricane Harbor. Mr. Wickstrum explained this is part of the Jr. Lifeguard program. They pay fees to participate in the program which covers this cost and there is the hope that these youth will return to be lifeguards in the future. President Hicks asked about the bill review to Corvel. Mr. Wickstrum explained that is for Workers' Compensation. President Hicks then asked about the IRWMP. Mr. Wickstrum explained that this is our participation in the Integrated Regional Watershed Management Plan where we have applied for grants for the aeration system and the Sr. Canyon grant.

On the motion of Director Baggerly, seconded by Director Bergen and passed, the bills were approved by the following roll call vote:

AYES:	Directors:	Baggerly, Kaiser, Hicks
NOES:	Directors:	None
ABSENT:	Directors:	Word

6. Discussion and consideration of approving a letter to the Federal Energy Regulatory Commission.

APPROVED With Changes

Director Baggerly explained that the committee felt there are a number of comments and ideas that were in the response letter from FERC that don't hold water. We didn't want the letter to rest out there on its own as if it were a valid statement. A rebuttal letter should be prepared and sent.

Alasdair Coyne representing Keep the Sespe Wild, Michael Stubblefield with Los Padres Sierra Club and the Environmental Coalition and Ralph Steele spoke in favor of sending the letter.

The board discussed the letter with some suggesting changes in the style and tenor of the letter. It was suggested to modify the letter to request consultation with NMFS and adding the suggestion to use Zequanox to kill the dreissenid mussels in Lake Piru and Lower Piru creek.

On the motion of Director Baggerly, seconded by Director Kaiser and passed, the letter with discussed changes was approved by the following roll call vote:

AYES:	Directors:	Baggerly, Kaiser, Hicks
NOES:	Directors:	None
ABSENT:	Directors:	Word

7. Discussion regarding the State Water Project Contract Extension Negotiation and Agreement in Principle.

Mr. Wickstrum explained the key aspects of the Agreement in Principle and suggested that this may come to the board for approval at the next meeting. The contract extension has been discussed at great lengths since July 2013. Negotiations have happened every other week and have resulted in what has become an agreement in principle. This will go through a yearlong CEQA process. The primary issues are for contract extension beyond 2035 and smoothing the cost bubble. The AIP is to express that we have an interest in these items going forward.

8. General Manager's verbal report on implementation of water restrictions.

Mr. Wickstrum thanked staff for working hard to get the public information and campaigns out to customers and changing the web site to allow for reporting of water waste. Staff has developed procedures for collecting and responding to complaints. We hung our first door hanger today. To date we have received 26 reports with seven of those being Casitas customers. The other reports are passed on to the appropriate agencies. Eight were for Ventura River, five were for Golden State and there have been a few others including one from Camarillo and one from Santa Paula.

We have received seven requests for large landscape variances and have dealt with one so far. We may need to look to hire a part time position as staff are very busy with field surveys.

9. Recommend rescheduling the Recreation Committee meeting to Monday September 8<sup>th</sup> at 9:30 a.m. since the regularly scheduled meeting falls on Labor Day. APPROVED

On the motion of Director Baggerly, seconded by Director Kaiser and passed, the above recommendation was approved.

10. Information Items:

- a. AWA Annual Member and Elected Officials Reception being held on September 18, 2014.
- b. Letter from Department of Water Resources regarding implementation of Best Management Practices.
- c. Bureau of Reclamation July 15, 2013 Site Visit report of Lake Casitas Recreation Area.

d. Investment Report.

11. Adjournment

President Hicks adjourned the meeting at 4:14 p.m.

---

Pete Kaiser, Secretary

CASITAS MUNICIPAL WATER DISTRICT  
Inter-Office Memorandum

DATE: September 3, 2014  
TO: Board of Directors  
FROM: Denise Collin - Accounting Manager  
Re: Scott Rasmussen  
765 Tico Road  
Ojai, CA 93023  
Account # 30-26905-01

**RECOMMENDATION:**

Approve Leak Relief Request of: \$782.59

**BACKGROUND AND OVERVIEW:**

Mr. Rasmussen stated he had been out of town, which is when he believes the leak began. When Mr. Rasmussen returned, he heard in the evening a faint noise which sounded like water circulating through pipes. After searching for a leak Mr. Rasmussen found in the crawl space under the house a pipe leaking and pooling water under a foundation. The repair was made immediately by Mr. Rasmussen.

All criteria for Leak Relief are met for the amount of \$782.59.

---

---

**CASITAS MUNICIPAL WATER DISTRICT  
INTEROFFICE MEMORANDUM**

---

---

**TO:** STEVE WICKSTRUM, GENERAL MANAGER  
**FROM:** NEIL COLE, CIVIL ENGINEER  
**SUBJECT:** ADOPT RESOLUTION TO AWARD CONTRACT –OJAI 3M PUMP PLANT ELECTRICAL UPGRADES,  
SPECIFICATION 14-371  
**DATE:** AUGUST 29, 2014

---

**RECOMMENDATION:**

It is recommended that the Board of Directors adopt the resolution accepting the proposal submitted by the lowest responsible bidder and award the contract for the construction of the Ojai 3M Pump Plant Electrical Upgrades, Specification 14-371 to Oilfield Electric and Motor of Ventura in the amount of \$99,500.00. It is further recommended that the President of the Board execute the agreement for said work and the Board authorize staff to proceed with the administration of the contract.

**BACKGROUND AND DISCUSSION:**

The Ojai 3M Pump Plant is in need of electrical upgrades to improve the efficiency of the facility and bring the facility into current code compliance. This project will install the previously purchased motor control centers, install the Southern California Edison required facilities, and connect the new motor control centers to the existing pumps.

The project was advertised through F.W. Dodge and on the District's web site. The bid announcement was sent to four bidders. Two bidders completed the non mandatory job walk. Two firms submitted proposals. The bid results are

<b><u>FIRM</u></b>	<b><u>AMOUNT</u></b>
Oilfield Electric & Motor	\$99,500.00
National Electrical Contractors	\$287,221.44

The Engineer's estimate to complete the Ojai 3M Pump Plant Electrical Upgrades was \$225,000. The FY 2014-15 Budget allocated \$235,000 for the completion of this project including fencing and some minor repairs that will be completed outside of this contract. The project is categorically exempt from CEQA per Section 15301 and 15302.

CASITAS MUNICIPAL WATER DISTRICT

**RESOLUTION AWARDING A CONTRACT  
FOR THE OJAI 3M PUMP PLANT ELECTRICAL UPGRADES  
SPECIFICATION 14-371**

**WHEREAS**, the District invited bids from qualified contractors for the above-referenced project, and

**WHEREAS**, the Ojai 3M Pump Plant is a critical component in the District's water transmission system, and

**WHEREAS**, the District received two bids, with the lowest responsive bid submitted by Oilfield Electric and Motor Inc. in the sum of \$99,500.00 and

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the Casitas Municipal Water District as follows:

1. That the bid from Oilfield Electric and Motor Inc. in the amount of \$99,500.00 be accepted for the Ojai 3M Pump Plant Electrical Upgrades, Specification 14-371 and a contract awarded.
2. That staff is hereby authorized and directed to proceed with the administration of the contract.

**ADOPTED** this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

\_\_\_\_\_  
Bill Hicks, President  
Casitas Municipal Water District

**ATTEST:**

\_\_\_\_\_  
Pete Kaiser, Secretary  
Casitas Municipal Water District

# Memo

To: General Manager  
From: Manager of Operations  
Date: September 5, 2014  
Re: Avenue Two Pump Plant Electrical Upgrade

---

## Recommendation:

It is recommended that the Board of Directors approve a purchase order to Consulting West in the amount of \$31,200.00 for electrical engineering services for the upgrade of Avenue 2 pump plant's electrical system.

## Discussion:

The FY 2014/15 budget contains approved funding in the amount of \$100,00.00 for the upgrade of the Avenue 2 pump plant electrical system. The purchase order to Consulting West is for electrical engineering services for the design phase, addressing SCE submittals, preparation of construction drawings, and technical support for the upgrade of the plant's high voltage main switchgear. The construction phase is scheduled for FY 15/16.

Staff recommends that the electrical engineering and technical services for this complex and specialized project be provided under sole source designation from Consulting West due to the need for continuity between activities, unique expertise and practical working knowledge of the contractor.

The purchase order is a "not to exceed amount" for time and materials based on Consulting West's proposal dated 8-18-2014.

# Memo

To: General Manager

From: Manager of Operations

Date: September 5, 2014

Re: Assessment and Troubleshooting Services for Power Generator and Arch  
Flash Hazard Category 4

---

## Recommendation:

It is recommended that the Board of Directors approve a purchase order to Consulting West in the amount of \$19,900.00 for electrical engineering services to assess and troubleshoot the plant's power generator.

## Discussion:

The FY 2014/15 budget contains approved funding in the amount of \$20,000.00 for the assessment, report, and recommendations for reconfigurations of the power generator system and recommendations on how to lower the hazard risk category 4 to level 2 or lower. Implementation of the recommendations is anticipated in FY 15/16.

Staff recommends that the electrical engineering and technical services for this complex and specialized project be provided under sole source designation from Consulting West due to the need for continuity between activities, unique expertise and practical working knowledge of the contractor.

The purchase order is a "not to exceed amount" for time and materials based on Consulting West's proposal dated 8-18-14.

# A/P Fund

Publication of check register is in compliance with Section 53065.6 of the Government Code which requires the District to disclose reimbursements to employees and/or directors.

000513 A/P Checks: 018842-018847  
A/P Draft to P.E.R.S.  
A/P Draft to State of CA  
A/P Draft to I.R.S.  
Voids:

000514 A/P Checks: 018848-018925  
A/P Draft to P.E.R.S. 090343  
A/P Draft to State of CA 090342  
A/P Draft to I.R.S. 090341  
Void: 018887

The above numbered checks,  
have been duly audited are hereby  
certified as correct.

Denise Collin 9/3/14  
Denise Collin, Accounting Manager

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

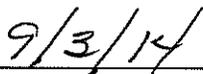
\_\_\_\_\_  
Signature

**CASITAS MUNICIPAL WATER DISTRICT**  
**Payable Fund Check Authorization**  
**Checks Dated 8/28/14-9/3/14**  
**Presented to the Board of Directors For Approval September 10, 2014**

Check	Payee			Description	Amount
000513	Payables Fund Account	#	9759651478	Accounts Payable Batch 082814	\$8,825.40
000514	Payables Fund Account	#	9759651478	Accounts Payable Batch 090314	\$546,666.93
					\$555,492.33
000515	Payroll Fund Account	#	9469730919	Estimated Payroll 9/18/14	\$150,000.00
					\$150,000.00
				Total	\$705,492.33

Publication of check register is in compliance with Section 53065.6 of the Government Code which requires the District to disclose reimbursements to employees and/or directors.

The above numbered checks, 000513-000515 have been duly audited is hereby certified as correct.

   
 \_\_\_\_\_  
 Denise Collin, Accounting Manager

\_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Signature

\_\_\_\_\_  
 Signature

CERTIFICATION

Payroll disbursements for the pay period ending 08/30/14  
Pay Date of 09/04/14  
have been duly audited and are  
hereby certified as correct.

Signed: Denise Collin 9/2/14  
Denise Collin

Signed: \_\_\_\_\_  
Signature

Signed: \_\_\_\_\_  
Signature

Signed: \_\_\_\_\_  
Signature

9/04/2014 12:27 PM  
 VENDOR SET: 01 Casitas Municipal Water D  
 BANK: \* ALL BANKS  
 DATE RANGE: 8/28/2014 THRU 9/03/2014

A/P HISTORY CHECK REPORT

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
C-CHECK	VOID CHECK	V	9/03/2014			018887		

\* \* T O T A L S \* \*

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	0	0.00	0.00	0.00
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	0	0.00	0.00	0.00
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:				
	1 VOID DEBITS	0.00		
	VOID CREDITS	0.00	0.00	

TOTAL ERRORS: 0

VENDOR SET: 01 BANK:	TOTALS:	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
		1	0.00	0.00	0.00
BANK:	TOTALS:	1	0.00	0.00	0.00

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
01616 I-082814	FRED BRENEMAN 8/17/14-8/30/14	R	8/28/2014	391.00		018842		391.00
01498 I-P1204019SN	Department of Industrial Relat Annual Lazy River Inspection	R	8/28/2014	292.50		018843		292.50
02225 I-270901	Musick, Peeler & Garrett LLP File #13477002 7/14	R	8/28/2014	740.50		018844		740.50
00347 I-082714	Ojai Recreation Department OjaiDay 2014 Application/Booth	R	8/28/2014	245.00		018845		245.00
00347 I-082714a	Ojai Recreation Department Cleaning Deposit	R	8/28/2014	50.00		018846		50.00
02676 I-J602	West Coast Power Solutions Air Handler Controls A/C DO	R	8/28/2014	7,106.40		018847		7,106.40
01707 I-131348860	AIRGAS SPECIALTY PRODUCTS Ammonium Hydroxide for TP	R	9/03/2014	2,765.20		018848		2,765.20
00010 I-9030512498 I-9030512499	AIRGAS USA LLC Welding Supplies for Pipelines Lenses for Welding Hood PP	R R	9/03/2014 9/03/2014	92.07 2.76		018849 018849		 94.83
00784 I-0147200IN	AM Conservation Group, Inc. Showerheads & Shut Offs	R	9/03/2014	1,549.20		018850		1,549.20
02575 C-357938A D-357938A I-357938	AMERICAN FLOOR MATS Accrue Use Tax Accrue Use Tax Switchboard Mats for 3M	R R R	9/03/2014 9/03/2014 9/03/2014	51.84CR 51.84 691.20		018851 018851 018851		  691.20
00417 I-7003040064	APPLIED INDUSTRIAL TECHNOLOGY O-Rings for TP EIM Actuators	R	9/03/2014	1.12		018852		1.12
00014 I-646988 I-654648 I-658187 I-658914 I-661614	AQUA-FLO SUPPLY Backflow Device Test Cocks Irrigation Parts, LCRA Gate Valve for Pipelines Connections for Backwash PVC Pipe for Ave 2 PP	R R R R R	9/03/2014 9/03/2014 9/03/2014 9/03/2014 9/03/2014	18.09 200.92 42.42 71.94 26.98		018853 018853 018853 018853 018853		    360.35

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
00840	AQUA-METRIC SALES COMPANY							
I-0053075IN	Meter Parts for O&M CS	R	9/03/2014	4,256.49		018854		4,256.49
01703	ARNOLD LAROCHELLE MATTHEWS							
I-41856	Matter No:5088-001 7/14	R	9/03/2014	2,319.00		018855		
I-41857	Matter No: 5088-012 7/14	R	9/03/2014	840.00		018855		3,159.00
01666	AT & T							
I-000005646405	Local, Regional, Long Distance	R	9/03/2014	1,080.77		018856		
C604513638777								
I-000005677674	T-1 Lines C602222128777	R	9/03/2014	899.62		018856		1,980.39
00021	AWA OF VENTURA COUNTY							
I-057941	Sponsor Reception 2014	R	9/03/2014	1,000.00		018857		1,000.00
00030	B&R TOOL AND SUPPLY CO							
I-1284961000101	Screw Extractor for Pipelines	R	9/03/2014	18.24		018858		18.24
01124	Bacon & Peterson Anesthesia							
I-071913	DOS 7/19/13 Claim#03-01792	R	9/03/2014	229.50		018859		229.50
00679	BAKERSFIELD PIPE & SUPPLY INC							
I-S2075748001	Bling Flange for Warehouse	R	9/03/2014	56.37		018860		56.37
10258	CAREERTRACK							
I-2176341	Webinar CD for LCRA	R	9/03/2014	225.70		018861		225.70
09907	CARUS PHOSPHATES, INC.							
I-SLS10035929	Orthopolyphosphate for TP	R	9/03/2014	20,662.03		018862		20,662.03
00057	CLEAN SOURCE							
I-284661301	Waterfree Urinal for LCRA	R	9/03/2014	2,080.13		018863		2,080.13
00059	COASTAL PIPCO							
I-S1826397001	Gaskets for Ammonia Facility	R	9/03/2014	113.46		018864		
I-S1827659001	Bushings for #89, Pump Truck	R	9/03/2014	12.98		018864		
I-S1827674002	PVC Parts for Waterpark	R	9/03/2014	63.68		018864		190.12
00061	COMPUWAVE							
I-SB02077787	Backup Batteries, Telemetry	R	9/03/2014	924.45		018865		924.45
02034	D.K. Mechanical							
I-2957	Service #109, Backhoe, LCRA	R	9/03/2014	432.60		018866		
I-2958	Service #114 Skiploader, LCRA	R	9/03/2014	299.72		018866		
I-2960	Service #267, Sprayer	R	9/03/2014	279.75		018866		
I-2961	Replace Lights #82,Paint Truck	R	9/03/2014	853.85		018866		1,865.92

VENDOR SET: 01 Casitas Municipal Water D  
 BANK: AP ACCOUNTS PAYABLE  
 DATE RANGE: 8/28/2014 THRU 9/03/2014

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
00085	DON'S INDUSTRIAL SUPPLIES, INC							
I-355157	Ball Valves, Tees for PP	R	9/03/2014	35.43		018867		35.43
02489	Kimberly Drury							
I-082714	Joint Order	R	9/03/2014	14,000.00		018868		14,000.00
00086	E.J. Harrison & Sons Inc							
I-28402	Acct#1C-00054230	R	9/03/2014	5,304.00		018869		5,304.00
02697	Brian Edmond							
I-081114	Irrigation Controller Rebate	R	9/03/2014	250.00		018870		250.00
00488	ELECTRONIC SYSTEMS TECHNOLOGY							
C-30170A	Accrue Use Tax	R	9/03/2014	589.61CR		018871		
D-30170A	Accrue Use Tax	R	9/03/2014	589.61		018871		
I-30170	E&M Modems and Accessories	R	9/03/2014	7,884.53		018871		7,884.53
00095	FAMCON PIPE & SUPPLY							
I-160546	Parts to Repipe Meter Setting	R	9/03/2014	991.15		018872		
I-160692	Parts to Repipe Meter Setting	R	9/03/2014	167.70		018872		
I-160797	Parts to Repair Meter Setting	R	9/03/2014	154.80		018872		
I-161050	Gate Valves for Warehouse	R	9/03/2014	2,800.38		018872		
I-161052	Galvanized Cross for #89 PL	R	9/03/2014	45.15		018872		
I-161300	Cement Pails for Warehouse	R	9/03/2014	176.30		018872		
I-161301	Romac Gaskets and Bolts	R	9/03/2014	101.05		018872		
I-161355	Gate Valves for Warehouse	R	9/03/2014	1,370.63		018872		
I-161364	Cement Pails for Warehouse	R	9/03/2014	378.40		018872		6,185.56
00099	FGL ENVIRONMENTAL							
I-408006A	Wet Chemistry-Total P Diss	R	9/03/2014	1,494.00		018873		
I-408288A	Metals, Total-Mn	R	9/03/2014	70.00		018873		1,564.00
00101	FISHER SCIENTIFIC							
I-6465262	Sodium Metabisulfite for Lab	R	9/03/2014	330.83		018874		330.83
00104	FRED'S TIRE MAN							
I-76673	Flat Repair	R	9/03/2014	20.00		018875		20.00
00216	THE GAS COMPANY							
I-082014	Acct#00801443003	R	9/03/2014	82.97		018876		
I-082714	Acct#00801443003	R	9/03/2014	74.28		018876		
I-082914	ACct#18231433006	R	9/03/2014	50.47		018876		207.72

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
02158	Google, Inc.							
I-10981466	Additional Usage	R	9/03/2014	6.50		018877		6.50
00115	GRAINGER, INC							
I-9514889709	Storage Cabinets for Maint	R	9/03/2014	547.11		018878		
I-9515314574	Sweeper Bags, Cabinets, Maint	R	9/03/2014	565.16		018878		
I-9522238279	Louvered Wall Panel, Maint	R	9/03/2014	309.94		018878		1,422.21
00746	GREEN THUMB INTERNATIONAL							
I-471013	Plants for District Garden	R	9/03/2014	83.09		018879		
I-471056	Rock, Borders for Dist Garden	R	9/03/2014	48.89		018879		131.98
00121	HACH COMPANY							
I-8970142	Nitriver 3 Reagents for Lab	R	9/03/2014	37.57		018880		
I-8978997	Iron Reagent for Lab	R	9/03/2014	39.88		018880		
I-8989040	Ammonia Cyanurate for TP	R	9/03/2014	94.49		018880		
I-8989041	Ammonia Cyanurate for Lab	R	9/03/2014	47.25		018880		219.19
00122	BILL HICKS							
I-Jul & Aug 14	Reimburse Mileage 7/14, 8/14	R	9/03/2014	175.84		018881		175.84
00894	HOSE-MAN, INC.							
I-5206418000105	Parts for #89, Pump Truck	R	9/03/2014	58.65		018882		58.65
00131	JCI JONES CHEMICALS, INC							
I-629402	Chlorine for TP, CM#629435	R	9/03/2014	1,770.00		018883		
I-629969	Chlorine for TP, CM#629976	R	9/03/2014	1,770.00		018883		3,540.00
01022	KELLY CLEANING & SUPPLIES, INC							
I-100258900	LCRA Office Janitorial	R	9/03/2014	280.00		018884		280.00
01270	SCOTT LEWIS							
I-Aug 14	Reimburse Expenses 8/14	R	9/03/2014	1,682.12		018885		1,682.12
00151	MEINERS OAKS ACE HARDWARE							
I-622576	Halogen Bulb for LCRA	R	9/03/2014	11.80		018886		
I-624715	Vacuum Breaker for Dist Office	R	9/03/2014	4.49		018886		
I-625083	Cement, Gloves for Pipelines	R	9/03/2014	27.42		018886		
I-625098	Waterless Toilet Installs	R	9/03/2014	22.81		018886		
I-625208	Pipe Straps for 3MPP	R	9/03/2014	24.91		018886		
I-625300	Insect Spray, Outlet for PP	R	9/03/2014	35.04		018886		
I-625325	Padlocks, Spraypaint for WP	R	9/03/2014	38.71		018886		
I-625411	Grattan Fasteners, Telemetry	R	9/03/2014	1.70		018886		
I-625457	Primer Bulb, Face Plates, Maint	R	9/03/2014	44.08		018886		
I-625545	Bag Combo, Gloves for WP	R	9/03/2014	27.70		018886		
I-625647	Gate Valves for New 3" Meter	R	9/03/2014	31.29		018886		
I-625760	Caulk, Bolts for Waterpark	R	9/03/2014	60.14		018886		
I-625781	Socket Adapter, Bolts for PP	R	9/03/2014	12.56		018886		

VENDOR SET: 01 Casitas Municipal Water D  
 BANK: AP ACCOUNTS PAYABLE  
 DATE RANGE: 8/28/2014 THRU 9/03/2014

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
I-625945	Concrete for New 3" Meter	R	9/03/2014	15.44		018886		
I-626259	Paint Brushes for Waterpark	R	9/03/2014	6.25		018886		
I-626355	Screws, Washers for 3MPP	R	9/03/2014	29.89		018886		
I-626540	Chlorine, Threadlocker for WP	R	9/03/2014	22.18		018886		
I-626574	Manure Forks for Dist Maint	R	9/03/2014	69.04		018886		
I-626641	Ballasts, LCRA Maint	R	9/03/2014	32.62		018886		
I-626757	Linseed Oil, Fittings, Maint	R	9/03/2014	32.42		018886		
I-627016	Tools & Supplies for Pipelines	R	9/03/2014	57.79		018886		
I-627018	Insulating Foam for Robles	R	9/03/2014	9.64		018886		
I-627075	Drill Bits, S-Biner for PP	R	9/03/2014	17.59		018886		635.51
01570	Ojai Auto Supply LLC							
I-318101	Sealer for Backwash Tank	R	9/03/2014	27.06		018888		27.06
00165	OJAI LUMBER CO, INC							
I-1408685834	Hole Straps for 3MPP Fence	R	9/03/2014	6.54		018889		6.54
00602	OJAI TRUE VALUE							
I-50144	Plug Tap for LCRA Maint	R	9/03/2014	5.90		018890		5.90
00168	OJAI VALLEY NEWS							
I-02745314	Public Notice Re: Drought	R	9/03/2014	507.50		018891		
I-02745369001	Water Restriction Ads	R	9/03/2014	200.00		018891		707.50
01627	OSCAR'S TREE SERVICE							
I-11275	Trim Tree at Mira Monte Well	R	9/03/2014	550.00		018892		550.00
10072	PERMACOLOR, INC							
I-276093	Coating for a Cla-Valve, PL	R	9/03/2014	125.00		018893		125.00
02216	Purchase Power							
I-081514	Replenish Postage Meter	R	9/03/2014	2,525.00		018894		2,525.00
02682	Quagga Inspection Services, LL							
I-201405	QID Contract & Training	R	9/03/2014	2,250.00		018895		2,250.00
02294	R&B Wholesale Distributors, In							
I-107774	Fish Sink Disposal	R	9/03/2014	1,048.13		018896		1,048.13
02674	Gregory P. Ralph, Esq.							
I-082714	Attorney's Fees	R	9/03/2014	3,000.00		018897		3,000.00
02674	Gregory P. Ralph, Esq.							
I-082714A	Attorney's Fees	R	9/03/2014	3,000.00		018898		3,000.00

VENDOR SET: 01 Casitas Municipal Water D  
 BANK: AP ACCOUNTS PAYABLE  
 DATE RANGE: 8/28/2014 THRU 9/03/2014

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
00313	ROCK LONG'S AUTOMOTIVE							
I-12584	Battery for #32, Admin Van	R	9/03/2014	284.05		018899		
I-12625	Oil Change, Battery, #32 Fish	R	9/03/2014	218.14		018899		
I-12692	Fan Clutch, Belt, #20, Fish	R	9/03/2014	294.48		018899		796.67
02475	Rutan & Tucker, LLP							
I-697997	Acct#0295180001 7/14	R	9/03/2014	3,016.00		018900		3,016.00
02003	Sostre & Associates							
C-2597CM	Credit Memo for Inv#2597	R	9/03/2014	500.00CR		018901		
I-2589	Monthly Website Fees CMWD	R	9/03/2014	249.00		018901		
I-2597	Web Page for Water Waste	R	9/03/2014	500.00		018901		249.00
00215	SOUTHERN CALIFORNIA EDISON							
I-082214	Acct#2312811532	R	9/03/2014	85.59		018902		
I-082214A	Acct#2266156405	R	9/03/2014	140.54		018902		
I-082214B	Acct#2157697889	R	9/03/2014	12,592.51		018902		
I-082814	Acct#2210507034	R	9/03/2014	31,684.49		018902		
I-082914	Acct#2210503702	R	9/03/2014	10,933.61		018902		55,436.74
02202	Stanley Pest Control							
I-634166	Yellow Jacket Treatment, WP	R	9/03/2014	170.00		018903		170.00
00048	STATE OF CALIFORNIA							
I-080114	State Water Plan Payment	R	9/03/2014	114,798.00		018904		114,798.00
00048	STATE OF CALIFORNIA							
I-090314	State Water Plan Payment	R	9/03/2014	139,635.00		018905		139,635.00
01173	TOICO INDUSTRIES, INC.							
C-0137401INA	Accrue Use Tax	R	9/03/2014	23.06CR		018906		
D-0137401INA	Accrue Use Tax	R	9/03/2014	23.06		018906		
I-0137401IN	Deodorizer for Pump Truck	R	9/03/2014	377.20		018906		377.20
02657	Trademark Hoist and Crane, Inc							
I-TM21920	Furnish & Install Hoist, Dam	R	9/03/2014	26,502.30		018907		26,502.30
01662	TYLER TECHNOLOGIES, INC.							
I-025103476	Monthly UB Online Fees	R	9/03/2014	153.00		018908		153.00
00185	Univar USA Inc							
I-LA042008	Bulk Chemicals for Waterpark	R	9/03/2014	1,470.36		018909		
I-LA043706	Bulk Chemicals for Waterpark	R	9/03/2014	485.90		018909		1,956.26

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
00247	County of Ventura Crosswalk Permit for LCRA	R	9/03/2014	215.00		018910		215.00
00891	VENTURA COUNTY CLERK Department of Fish & Wildlife Filing Fee	R	9/03/2014	50.00		018911		50.00
00257	VENTURA RIVER COUNTY WATER Acct#0537500A	R	9/03/2014	56.00		018912		
	I-083114A Acct#0350100A	R	9/03/2014	19.46		018912		75.46
09955	VENTURA WHOLESALE ELECTRIC Conduit, Strap for Pump Plant	R	9/03/2014	48.91		018913		48.91
00124	ICMA RETIREMENT TRUST - 457 457 CATCH UP	R	9/03/2014	634.61		018914		
	I-DCI201409020886 DEFERRED COMP FLAT	R	9/03/2014	2,894.24		018914		3,528.85
01960	Moringa Community PAYROLL CONTRIBUTIONS	R	9/03/2014	16.75		018915		16.75
00985	NATIONWIDE RETIREMENT SOLUTION 457 CATCH UP	R	9/03/2014	864.53		018916		
	I-DCN201409020886 DEFERRED COMP FLAT	R	9/03/2014	4,632.85		018916		
	I-DN%201409020886 DEFERRED COMP PERCENT	R	9/03/2014	312.65		018916		5,810.03
00180	S.E.I.U. - LOCAL 721 SEIU 721 COPE	R	9/03/2014	12.00		018917		
	I-UND201409020886 UNION DUES	R	9/03/2014	677.00		018917		689.00
00230	UNITED WAY PAYROLL CONTRIBUTIONS	R	9/03/2014	60.00		018918		60.00
1	John Hefty TS Refund	R	9/03/2014	85.00		018919		85.00
1	Pat Duggan UB Refund	R	9/03/2014	300.89		018920		300.89
1	Catherine Lee UB Refund	R	9/03/2014	24.89		018921		24.89
1	Chad B Dalhgren TS Refund	R	9/03/2014	3.60		018922		3.60

VENDOR I.D.	NAME	STATUS	CHECK DATE	INVOICE AMOUNT	DISCOUNT	CHECK NO	CHECK STATUS	CHECK AMOUNT
1	Barry Jacobs							
I-000201408290882	TS Refund	R	9/03/2014	6.00		018923		6.00
1	Jeffrey K Otterbein							
I-000201408290883	TS Refund	R	9/03/2014	15.00		018924		15.00
1	Lynn D Rusch							
I-000201408290884	TS Refund	R	9/03/2014	35.00		018925		35.00
00128	INTERNAL REVENUE SERVICE							
I-T1 201409020886	Federal Withholding	D	9/03/2014	27,421.43		090341		
I-T3 201408280881	FICA Withholding	D	9/03/2014	68.64		090341		
I-T3 201409020886	FICA Withholding	D	9/03/2014	26,191.70		090341		
I-T4 201408280881	Medicare Withholding	D	9/03/2014	16.06		090341		
I-T4 201409020886	Medicare Withholding	D	9/03/2014	6,417.76		090341		60,115.59
00049	STATE OF CALIFORNIA							
I-T2 201409020886	State Withholding	D	9/03/2014	9,004.41		090342		9,004.41
00187	CALPERS							
I-PBB201409020886	PERS BUY BACK	D	9/03/2014	66.87		090343		
I-PEB201409020886	PEBRA EMPLOYEES PORTION	D	9/03/2014	927.53		090343		
I-PER201409020886	PERS EMPLOYEE PORTION	D	9/03/2014	10,009.06		090343		
I-PRB201409020886	PEBRA EMPLOYER PORTION	D	9/03/2014	927.53		090343		
I-PRR201409020886	PERS EMPLOYER PORTION	D	9/03/2014	12,271.95		090343		24,202.94

\* \* T O T A L S \* \*

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
REGULAR CHECKS:	83	462,169.39	0.00	462,169.39
HAND CHECKS:	0	0.00	0.00	0.00
DRAFTS:	3	93,322.94	0.00	93,322.94
EFT:	0	0.00	0.00	0.00
NON CHECKS:	0	0.00	0.00	0.00
VOID CHECKS:	0	VOID DEBITS	0.00	
		VOID CREDITS	0.00	
			0.00	0.00

TOTAL ERRORS: 0

	NO	INVOICE AMOUNT	DISCOUNTS	CHECK AMOUNT
VENDOR SET: 01 BANK: AP TOTALS:	86	555,492.33	0.00	555,492.33
BANK: AP TOTALS:	86	555,492.33	0.00	555,492.33
REPORT TOTALS:	87	555,492.33	0.00	555,492.33

**Casitas Municipal Water District  
 Reimbursement Disclosure Report (1)  
 Fiscal Year 2014/15  
 July 1, 2014-August 31, 2014**

<u>Date paid</u>	<u>Board of Director/ Employee</u>	<u>Description</u>	<u>Amount Paid</u>
7/1/2014	Denise Collin	Car Rental CSDA Leadership Summit 6/22/14-6/24/14	\$ 246.96
7/1/2014	Denise Collin	Lodging CSDA Leadership Summit 6/22/14-6/24/14	\$ 423.14
7/1/2014	Denise Collin	Airfare CSDA Leadership Summit 6/22/14-6/24/14	\$ 367.50
7/1/2014	Eric Grabowski	Safety Boots	\$ 127.93
7/1/2014	Tim Lawson	Safety Boots	\$ 170.00
7/16/2014	RJ Faddis	Lodging for RJ Faddis & Mitch Tull, Quagga Training 5/27/14-5/29/14	\$ 596.20
7/16/2014	Scott Lewis	Airfare CMWD 6/15/14-6/20/14	\$ 448.00
7/16/2014	Scott Lewis	Lodging CMWD 6/15/14-6/20/14	\$ 408.75
7/16/2014	Scott Lewis	Car Rental CMWD 6/15/14-6/20/14	\$ 320.65
7/16/2014	Denise Collin	Advance for Calpers Forum 10/27/14-10/29/14	\$ 565.77
7/24/2014	Brian Taylor	Wood Chips for Damage Repair from Matilija Conduit Leak	\$ 216.00
8/12/2014	Neil Cole	Water Education Seminar	\$ 130.00
8/12/2014	Ron Yost	Damtender House Property Tax Bill	\$ 548.37

Note:

1) Reimbursement Disclosure Report prepared pursuant to California Government Code 53065.5

CASITAS MUNICIPAL WATER DISTRICT  
Inter-Office Memorandum

DATE: September 3, 2014

TO: Board of Directors

FROM: General Manager, Steve Wickstrum

Re: Consideration of Signing the Agreement in Principle (AIP) – State Water Contract

**RECOMMENDATION:**

It is recommended that the Board of Directors authorize and direct the President of the Board to sign the Agreement in Principle, on behalf of the District and subcontractors for Ventura County's State Water.

**BACKGROUND:**

Casitas is the administrator of that portion of the State Water Project contract that was assigned to the Ventura County Flood Control District (VCFCD). As the administrator, Casitas is responsible for the execution of contract amendments and requests for water deliveries concerning the 20,000 acre-feet entitlement of State Water. Casitas also coordinates the administration of subcontracts with the United Water Conservation District and the City of San Buenaventura (Ventura).

Over the course of the past two years, the twenty-nine State Water Contractors (SWC) have been negotiating with the California Department of Water Resources (DWR) to develop a strategy for the extension of current State Water contracts beyond calendar year 2035. The State Water Project is a critical water supply for much of California and it is envisioned that the infrastructure and operations of the State Water project would exist beyond the term of the initial contracts. During the course of the negotiations there were several key issues and objectives that were developed by the DWR and the SWC to be considered in the implementation of a contract extension. The issues and objectives have been brought together in the form of an Agreement in Principle (AIP), attached to this memorandum.

The AIP is a foundation of the issues and direction that will lead toward a final contract extension agreement for the SWC and the DWR. The AIP must be evaluated under the terms of the California Environmental Quality Act. This evaluation is expected to occur over the next year, at which time a determination would be made and if satisfactory to all parties, may then lead to the negotiation of an extended contract.

The key elements of the AIP can be summarized as extending the term of the contract to year 2085, continuance of a long-term water supply project, simplifying the billing process, increasing the reserves of DWR to cover 90 days of operations and power costs, ensure DWR can finance the State Water Project beyond 2035, and increase oversight by SWC of DWR cost accounting and expenditure accountability. A key objective is the smoothing into the future the current bond debt bubble that is expected to occur during the next twenty years, in such a manner that will have less annual impact to each of the SWCs.

Director Kaiser has asked the question – can the State raid the reserve funds proposed to be kept by the DWR? The question has been answered by staff at the SWC - no. The terms of the Burns-Porter Act protect bond holders from such raiding and redistribution of State Water funds to other State functions.

At this time, the United Water Conservation District (by email from Michael Solomon, General Manager) and the City of Ventura (by letter from Shana Epstein, General Manager) have indicated their desire to have Casitas, as the administrator of the State water Contract, sign the AIP on their behalf.

Trusted life source for generations



www.venturawater.net

August 29, 2014

Steve Wickstrum  
General Manager  
Casitas Municipal Water District  
1055 Ventura Avenue  
Oakview, CA 93022

Subject: Casitas Municipal Water District in signing the Agreement In-Principle

Dear Steve,

The City of Ventura supports Casitas Municipal Water District in signing the Agreement In-Principle (AIP) negotiated by the Department of Water Resources and the State Water Contractors that lays the groundwork for an amendment to the State Contract scheduled for 2016. It is the City's understanding this critical step allows the discussions to continue as well as environmental review to begin without committing the City to the final agreement or any financial obligations.

In summary, the AIP addresses several items such as extending the contract term to 2085, increasing reserves, establishing new financial investment accounts, billing simplification, and reporting principles and guidelines. In addition, the establishment of a State Water Resources Development System Finance Committee (SWRDS) is part of this AIP. The AIP will allow DWR to move forward with the California Environmental Quality Act (CEQA) prior to the contract amendment, which is planned to be completed in 2016. The City expects by applying some of the existing costs in the contract to a longer term, the City will save up to \$3.5 million in the City's State Water Payments through 2035.

Thank you for administering the State Water Contract for your agency as well as United Water Conservation District and the City. We appreciate the involvement to get to this point.

Sincerely,

Shana Epstein  
General Manager

C:

Mark Watkins, Ventura City Manager  
Susan Rungren, Water Resources Planning Manager, Ventura Water



Steve Wickstrum <swickstrum@casitaswater.com>

---

## Agreements in Principle for the State Water Contract Extension

MESSAGES

---

**Steve Wickstrum** <swickstrum@casitaswater.com>

Tue, Aug 12, 2014 at 10:08 AM

To: Mike Solomon <msolomon@unitedwater.org>, Shana Epstein <sepstein@venturawater.net>

Mike and Shana - please let me inform me by Friday on the position your agency has in regard to the AIP - sign or no sign, reasons to not sign.

The Casitas Board will receive a presentation on the AIP this Wednesday, but will not move forward with signing until I get concurrence from you on moving forward with the AIP. DWR would like to have AIPs by early September.

Steve Wickstrum

---

**Mike Solomon** <msolomon@unitedwater.org>

Tue, Aug 12, 2014 at 12:12 PM

To: Steve Wickstrum <swickstrum@casitaswater.com>, Shana Epstein <sepstein@venturawater.net>

Our Board agreed to signing on...Mike

---

**From:** Steve Wickstrum [mailto:swickstrum@casitaswater.com]

**Sent:** Tuesday, August 12, 2014 10:08 AM

**To:** Mike Solomon; Shana Epstein

**Subject:** Agreements in Principle for the State Water Contract Extension

Mike and Shana - please let me inform me by Friday on the position your agency has in regard to the AIP - sign or no sign, reasons to not sign.

The Casitas Board will receive a presentation on the AIP this Wednesday, but will not move forward with signing until I get concurrence from you on moving forward with the AIP. DWR would like to have AIPs by early September.

Steve Wickstrum

---

This email has been scanned by the Symantec Email Security.cloud service.  
For more information please visit <http://www.symanteccloud.com>

---

This email has been scanned by the Symantec Email Security.cloud service.

**DATE:** June 18, 2014

**SUBJECT:** Agreement in Principle Concerning Extension of the State Water Project<sup>1</sup> Water Supply Contracts

This document contains a statement of principles that could provide the foundation for an agreement between each State Water Project Contractor<sup>2</sup> (Contractor) and the Department of Water Resources (DWR or Department) that will extend and otherwise amend the Water Supply Contract between such Contractor and DWR.

### Outline of Agreement in Principle

- |       |              |                                      |
|-------|--------------|--------------------------------------|
| I.    | Objective 1  | Term of Contract                     |
| II.   | Objective 2A | Reserves                             |
| III.  | Objective 2B | Accounts (SRA, SSA, FCA)             |
| IV.   | Objective 2C | Flow of Funds                        |
| V.    | Objective 2D | Financial Management                 |
| VI.   | Objective 2E | Supplemental Billing                 |
| VII.  | Objective 2F | Cost Recovery                        |
| VIII. | Objective 2G | SWRDS Reporting Principles           |
| IX.   | Objective 2H | 51(e) Revenue Reporting Requirements |
| X.    | Objective 3A | Simplification of Billing            |
| XI.   | Objective 3B | Replacement Accounting System        |
| XII.  | Objective 3C | Article 1(hh)                        |
| XIII. | Objective 3D | Billing Authorization                |
| XIV.  | Objective 4  | BDCP and DHCCP Participation         |
| XV.   | Provision 1  | Other Contract Provisions            |
| XVI.  | Provision 2  | Environmental Review Process         |
| XVII. | Provision 3  | Authorized Representative Signatures |

<sup>1</sup> The State Water Project is the name commonly used to refer to the State Water Resources Development System (Water Code Section 12931)

<sup>2</sup> The State Water Project Contractors includes Alameda County Flood Control and Water Conservation District (Zone 7), Alameda County Water District, Antelope Valley-East Kern Water Agency, Castaic Lake Water Agency, City of Yuba City, Coachella Valley Water District, County of Butte, County of Kings, Crestline-Lake Arrowhead Water Agency, Desert Water Agency, Dudley Ridge Water District, Empire West Side Irrigation District, Kern County Water Agency, Littlerock Creek Irrigation District, The Metropolitan Water District of Southern California, Mojave Water Agency, Napa County Flood Control and Water Conservation District, Oak Flat Water District, Palmdale Water District, Plumas County Flood Control and Water Conservation District, San Bernardino Valley Municipal Water District, San Gabriel Valley Municipal Water District, San Geronio Pass Water Agency, San Luis Obispo County Flood Control and Water Conservation District, Santa Barbara County Flood Control and Water Conservation District, Santa Clara Valley Water District, Solano County Water Agency, Tulare Lake Basin Water Storage District, and Ventura County Flood Control District.

**STATEMENT OF PRINCIPLES****I. OBJECTIVE 1: TERM OF CONTRACT****A. Water Supply Contract Term Extension**

1. Extend term of the SWP water supply contracts to December 31, 2085.

**II. OBJECTIVE 2A: RESERVES****A. General Operating Account (GOA)**

1. **Uses of GOA** - Cash flow deficiencies resulting from chargeable water supply purposes and/or during a SWRDS emergency for any SWRDS purpose.
2. **SWRDS Emergency** - An immediate, urgent, critical, unexpected, or impending situation that, in the judgment of the Director, Department of Water Resources (Director), may cause or pose a risk of causing injury, loss of life, damage to the property, impairment of the financial condition, and/or interference with the normal activities of the State Water Resources Development System which requires immediate attention and remedial action.
3. **Initial Cap** - Increase the authorized General Operating Account (GOA) initial cap to \$150 million in Article 51(a)(3).
4. **Cap Adjustment Determination** - Every five years subsequent to the year of the initial cap increase, there shall be a business case analysis on the GOA authorized cap including an evaluation of the cap and business risks associated with SWRDS cash flow provided to the SWRDS Finance Committee for recommendation to the Director regarding a GOA cap adjustment. If there is a decrease in the cap (but the cap shall not be lower than \$150 million), the excess funds would be transferred to the SWRDS Reinvestment Account (SRA).
5. **Cap Adjustment Funding Source (Pre-2035)** - Shall be the Director's discretion to use 51(e) revenues<sup>3</sup>, investment earnings retained in the GOA, and transfers of funds from the SRA and SWRDS Support Account (SSA).
6. **Cap Adjustment Funding Source (Post-2035)** - Shall be the Director's discretion to use the investment earnings retained in GOA and the funds within the SRA and SSA.
7. **Rate of Funding for Cap** - Limitation on the amount (\$2 million per year) and timing of additional contributions to the GOA contained in Article 51(e)(3)(v) will be deleted. DWR will be able to use any amount of available 51(e) revenues to increase funds in the GOA up to the authorized maximum GOA funding level and such funding shall be subordinate to the annual rate management reductions to the Contractors.

---

<sup>3</sup> The definition and calculation of 51(e) revenues will be included in the contract amendment language.

8. **GOA Replenishment** - Replenishment of the use of GOA funds will come from charges to the Contractors to the extent the expended funds were spent on chargeable water supply purposes and from the SSA or other available revenues for costs not chargeable to the Contractors.
9. **GOA Reporting** - DWR will prepare monthly reports on the balance and use of the GOA for the Director, which will be provided to the SWRDS Finance Committee. The SWRDS Finance Committee will periodically review reporting frequency and make recommendations to the Director regarding reporting frequency.
10. **GOA Investment Earnings** - shall be used as follows:
  - a) Funding GOA to authorized GOA funding level;
  - b) Transferred, at the discretion of the Director, to the SSA and/or to the SRA.

### III. **OBJECTIVE 2B: ACCOUNTS (SRA, SSA, FCA)**

#### A. **SWRDS Reinvestment Account (SRA)**

1. **Effective Date of SRA** - The SRA shall be established and take effect upon the effective date of the contract extension amendment.
2. **SRA Uses** - The primary purpose is to provide a post-2035 SWRDS revenue stream by investing SRA funds in chargeable SWRDS facilities and programs.
3. **Funding Source** - At the discretion of the Director, available Article 51(e)(1)(ii) revenues collected by the State pre-2035. The Director also has discretion to transfer funds from the SSA or the GOA.
4. **Cap on SRA** - There will be no Cap or Cap Adjustments to the SRA.
5. **SRA Investments** - At the discretion of the Director, authorized SRA investments shall be as follows:
  - a) Investment in SWRDS capital facilities, recovered at the prevailing municipal bond market rates corresponding to the SWRDS bond rating at the time of financing, in maturity ranges that may extend 10 to 50 years, provided that if the capital asset being financed has a useful life of less than 10 years, the investment may be recovered over a comparable period of less than 10 years;
  - b) Bridge financing of capital costs in lieu of the SWRDS commercial paper program;  
and

- c) Allowable investments for SWRDS that generate a monetary return on investment.
6. **Use of SRA Funds** - At the discretion of the Director, the SRA funds, including but not limited to investment earnings, shall be used to:
- a) Provide a source of replenishment funds to the SSA;
  - b) Provide a source of funds to be held in the SRA for investment purposes; and
  - c) Provide a funding source for funding level adjustments to the GOA.
7. **SRA Review** - The SWRDS Finance Committee is to be consulted about the investments and activities to be funded from the SRA.
8. **SRA Reporting** - DWR will prepare regular reports on the SRA for the Director, which reports will be provided to the SWRDS Finance Committee.

**B. SWRDS Support Account (SSA)**

- 1. **Effective Date of SSA** - The SSA shall be established and take effect upon the effective date of the contract extension amendment.
- 2. **SSA Uses** - The purpose of the SSA shall be to provide a source of funds to pay for non-chargeable expenditures where there are no funds or revenue sources available to pay for such costs. If reimbursement or a source of revenue is received after the expenditure is incurred this revenue shall be deposited in the SSA.
- 3. **SSA Review** - The SWRDS Finance Committee shall be consulted about the projects and activities to be funded from the SSA.
- 4. **SSA Reporting** - DWR will prepare regular reports on the SSA for the Director, which will be provided to the SWRDS Finance Committee.
- 5. **Initial Cap** - There would be no cap on the SSA.
- 6. **Funding Source for Initial Funding** - 51(e) revenues and/or the remaining balance of the State Water Facilities Capital Account (FCA) once the FCA is closed.
- 7. **SSA Replenishment** - Shall be accomplished through 1) reimbursements received for expenditures made from the SSA; 2) at the discretion of the Director, transfers from the SRA and/or from the GOA's available investment earnings, 3) deposits, in the discretion of the Director, from other available revenues, and 4) interest and other investment income retained in the SSA. DWR will not charge the Contractors to replenish the SSA for costs not chargeable to the Contractors under the Water Supply Contracts.

- 
8. **SSA Interest Earnings** – At the Director’s discretion, retained in the SSA to reduce the annual SRA replenishment requirement.

**C. State Water Facilities Capital Account (FCA)**

1. The State Water Facilities Capital Account (FCA) pursuant to Article 51(c)(2)(v) shall be reviewed by the SWRDS Finance Committee after five years from the date of the contract amendment to recommend to the Director whether or not it should be closed and the balance of the account transferred to the SSA.

**IV. OBJECTIVE 2C: FLOW OF FUNDS**

**A. Maintain the Monterey Amendment Article 51 Flow of Funds with the following edits/additions:**

1. Increase Annual Rate Reductions to \$48 million. The Annual Rate Reductions shall no longer apply after December 31, 2035 and the applicable provisions in Article 51 shall be deleted as of that date.
2. After the \$48 million in annual rate reductions, the Director shall have the discretion to allocate and transfer up to 80% of available 51(e) revenues, as determined on a projected basis, and up to 100% on an actual basis into one or more of the following accounts:
  - a) General Operating Account (GOA)
  - b) SWRDS Support Account (SSA)
  - c) SWRDS Reinvestment Account (SRA)

After funds are deposited into a, b, and c above, any remaining available 51(e) revenues will remain in the Systems Revenue Account and will be tracked separately in DWR’s Enterprise Resource Planning (ERP) system. The Director will have full discretion over the use of these funds. DWR will prepare financial reports annually with supporting documentation of the determination and provide these reports to the Finance Committee.

3. Contractors shall agree to forego additional rate management reductions including additional rate management reductions to make up for deficiencies in past projected rate management reductions or to provide any additional rate management reductions above \$48 million annually pursuant to Article 51(e)(3). Effective with the contract amendment, Article 51(e)(3) shall be deleted.
4. The contract amendment shall specify those provisions in Article 51 that shall remain in effect after December 31, 2035 (such as the General Operating Account provision as it may be amended) and those provisions that will no longer apply after December 31, 2035 and shall be deleted as of that date.

5. Contractors shall agree to waive and release issues related to DWR's recent adjustment of the Contractors' responsibility for facilities south of Dos Amigos.

**V. OBJECTIVE 2D: FINANCIAL MANAGEMENT**

**A. State Water Resources Development System Finance Committee**

1. Immediately, the Department of Water Resources shall establish a joint Department of Water Resources and Contractor finance committee (Committee).
2. The membership of the Committee shall include both representatives from the Department of Water Resources and representatives from the Contractors.
3. The primary purpose of the Committee shall be to make recommendations to the Director of the Department of Water Resources concerning the financial policies of the State Water Resources Development System.
4. The Department of Water Resources and the Contractors shall describe the scope of the committee in a charter mutually agreeable to the Department of Water Resources and the Contractors.

**VI. OBJECTIVE 2E: SUPPLEMENTAL BILLING**

**A. Supplemental Billing**

1. The supplemental billing provisions authorized under Article 51(c)(4) shall remain in effect through December 31, 2035, unless the Director determines in his or her discretion to eliminate the use of supplemental billing prior to that date or upon Director's acceptance of a recommendation from the SWRDS Finance Committee. In full consultation with the SWRDS Finance Committee, DWR will review the System cash balances when the System's forecasted 120 days cash balance becomes critical. The Committee will make a recommendation for action to the Director. The supplemental billing provision may only be used when available System cash balances are projected to be less than an amount equal to 90 days operating expenditures<sup>4</sup>. The term "available System cash balances" shall mean the funds in the following California Water Resources Development Bond Fund accounts: System Revenue Account (to the extent the funds in the System Revenue Account are not projected to be needed for payment of Burns-Porter General Obligation Bond debt service for the next two years), GOA, SRA and SSA (to the extent the funds in the SSA are not projected to be needed for non-chargeable expenditures for the next two years).

---

<sup>4</sup> A description of "operating expenditures" will be included in the contract amendment language.

**VII. OBJECTIVE 2F: COST RECOVERY****A. Cost Recovery**

1. In general, DWR should seek reimbursement for all SWRDS costs from the appropriate customers and users of SWRDS facilities, including the State. With respect to those SWRDS costs that are reimbursable by the Contractors, DWR should allocate financial responsibility for such costs in a manner that is both lawful and equitable, and which endeavors to recover such costs from the appropriate Contractors. If the Department proposes to not charge any Contractor the full amount that the Department is entitled to charge the Contractor under the contract, DWR shall bring a written proposal to the Finance Committee for purposes of developing a recommendation to the Director regarding the proposal. DWR shall submit such proposal in writing to the Finance Committee 90 days in advance of the Director issuing any decision and within such 90 day period the Finance Committee shall provide the Director with a recommendation regarding such proposal. Such proposals will comply with the structure set out in II.D.2 of the SWRDS Finance Committee Charter.

**VIII. OBJECTIVE 2G: SWRDS REPORTING PRINCIPLES [EXHIBIT TO THE CONTRACT]**

- A. During the term of the water supply contracts, it is likely that financial reports and financial management reports will change in scope, nature, and frequency. Regardless of the exact reports used, such reports shall follow the below principles and guidelines to the extent applicable.
  1. Principle 1: Financial reporting will be generated from the general ledger or data warehouse of the financial information system (system of record), such as SAP.
    - The financial system of record is the authoritative source for financial reporting data values in a system. To ensure data integrity, there must be one, and only one, system of record for financial reporting values.
  2. Principle 2: Financial reporting is not limited to annual financial statements but will be developed for regular reporting periods.
  3. Principle 3: Financial management reporting generated from other financial systems, such as Utility Cost Accounting Billing System (UCABS), will identify and analyze significant variances from prior years or budgets.
  4. Principle 4: Financial reporting and financial management reporting will identify unusual items and exceptions, and these items will be documented, reviewed, and resolved by management.

5. Principle 5: DWR will use standardized System-wide business rules and utilize a centralized financial system, such as SAP, UCABS, or other system, to provide controls/validations to ensure data integrity and reliable reporting.
6. Principle 6: DWR will use standardized data integrity rules in the development and publication of reports, including but not limited to the following:
  - (1) Data integrity refers to the accuracy and consistency of data stored in a database, data warehouse, data mart or other construct.
  - (2) Data integrity processes verify that data has remained unaltered in transit from creation to reception or remains unaltered in transit from one system to the next.
    - a. Data used outside of the Enterprise Resource Planning (ERP) systems to meet the reporting needs of Program will undergo any number of operations in support of decision-making, such as capture, storage, retrieval, update and transfer. It is important to have confidence that during these operations, the data will be kept free from corruption, modification and remain unaltered.
  - (3) Data with “integrity” has a complete or whole structure. Data values are standardized according to a data model and/or data type. All characteristics of the data must be correct – including business rules, relations, dates, definitions and lineage – for data to be complete.
  - (4) Data integrity is imposed within an ERP database when it is created and is authenticated through the ongoing use of error checking and validation routines.
  - (5) Data integrity state or condition is to be measured by the validity and reliability of the data values.
  - (6) Data integrity service and security maintains information exactly as it was input, and is auditable to affirm its reliability.

The SWRDS Finance Committee is charged with providing financial policy recommendations to the Director, and the Director has final discretion on whether or not to accept the recommendations. While the SWRDS Finance Committee is not charged with reviewing the content of financial reports, timely and accurate financial reporting and financial management reporting provides technical committees access to useful information that can be used to formulate proposals on financial policy matters that may be brought to the SWRDS Finance Committee.

## **IX. OBJECTIVE 2H: 51(e) REVENUE REPORTING REQUIREMENTS**

### **A. 51(e) Revenue Report**

1. Create and distribute the Annual Rate Reduction Determination Report. In addition, display the distribution of Gross Annual Revenues before Recreation, Fish and Wildlife

---

Expenditures, Facilities Capital Account and Rate Management Credits and Net Annual Revenues after Rate Management Credits to the accounts listed in item 2 below.

2. Create and distribute quarterly an Activity Report by fund-account for all uses, including but not limited to the following accounts:
  - a) General Operating Account (GOA)
  - b) SWRDS Support Account (SSA)
  - c) SWRDS Reinvestment Account (SRA)
  - d) Systems Revenue Account, 51(e) Sub-Account
  - e) Davis-Dolwig Fund
  - f) Facilities Capital Account
  - g) Suspended Costs
3. The Activity Report shall display the annual and accumulated 51(e) revenue and expenditure activity, including the beginning balance, the annual activity and the ending balance for the year.
4. Reporting data shall be auditable which includes an audit trail from the costing ledgers to the general ledger or the Bulletin 132 estimates to the Activity Report.
5. Report should have sufficient detail to provide comprehensive accounting of annual 51(e) revenues and the uses of annual 51(e) revenues to enable the SWRDS Finance Committee to assess the utilization of these revenues.

**X. OBJECTIVE 3A: SIMPLIFICATION OF BILLING**

**A. Implement the Freeze-Go Billing Methodology**

1. The freeze-go date shall be January 1 of the first calendar year starting six (6) months after the contract extension takes effect, but not earlier than January 1, 2017, provided that if the Department determines it to be necessary, the Department may rely on estimates and later true-up for billing and reporting purposes in the initial implementation years after the freeze go date.
2. Methodology will recover all costs incurred on and after the freeze-go date, by converting and authorizing repayment on a Pay-As-You-Go methodology.
3. Amend the definition of the Project Repayment Period to apply only to those costs incurred prior to the freeze-go date.
4. The term of the Project Repayment Period, January 1, 1961 through December 31, 2035, will remain the same.
5. The Water Systems Revenue Bond Surcharge will apply only to those costs incurred prior to the freeze-go date and will terminate at the completion of the Project Repayment Period.

6. Amend the definition of the Project Interest Rate to apply only to those costs incurred prior to the freeze-go date. No interest rate, including the Project Interest Rate, shall be applied to the over/under charges for costs incurred after the freeze-go date. The Project Interest Rate will remain 4.610%.
7. In identifying "costs incurred" for purposes of determining whether the cost is incurred before the freeze-go date or on or after the freeze-go date, the following shall apply:
  - a) Variable costs shall be deemed to occur when the goods or service is provided, regardless of when the expenditure for such goods or service is recorded in the financial information system;
  - b) Capital and minimum costs shall be deemed to occur when the expenditure for the goods or service is recorded in the financial information system, regardless of the date the goods or service is provided.

**B. Defining the Pay-As-You-Go Repayment of Costs Incurred On and After the Freeze-Go Date**

1. The annual cost recovery within a bill year for estimated annual operation, maintenance, power, and replacement costs for project conservation facilities.
2. The annual recovery of actual debt service, including repayment of the SRA, created by SWRDS financing activities, within a bill year for financed capital transportation and conservation facilities.
3. A capital asset which has a short useful life and/or will not have a substantial cost, may be charged to the Contractors in the year the cost is incurred. The SWRDS Finance Committee may review and make recommendations to the Director regarding the policy of charging the costs of such assets.

**C. Defining the Pay-As-You-Go Repayment of Actual Debt Service from SWRDS Financing Capital Costs On and After the Freeze-Go Date**

1. Authorize DWR to finance 100% of all capital costs.
2. Authorize DWR to use various methods of financing including Water System Revenue Bonds and SRA, with each method providing an annual repayment schedule which includes a principal and interest (debt service) component over the life of the financing.
3. Authorize DWR to bill an amount that is equal to the accumulated annual debt service payments due per the debt service schedules for SRA investments or matching dollar for dollar the SWRDS annual debt service payments including the bond cover requirements, providing 100% capital cost recovery at the financed interest rate over the term of the financing while meeting the additional bond debt cover requirements.

**XI. OBJECTIVE 3B: REPLACEMENT ACCOUNTING SYSTEM (RAS)****A. Elimination of the Replacement Accounting System (RAS)**

1. Eliminate the Replacement Accounting System (RAS) for Transportation and Conservation Facilities with the intent to have replacement costs treated as a minimum or capital cost.
2. The unencumbered cash balance of the RAS funds are to be returned to the SWP Contractors using the RAS allocation methodology.

**XII. OBJECTIVE 3C: ARTICLE 1 (hh)****A. Expanding Water System Facilities Authorized to be Financed by Water System Revenue Bonds Under Article 1(hh)**

1. Eliminate the January 1, 1987 date for existing facilities within Article 1(hh)(8); provision to now read as follows, " Finance all repairs, additions, and betterments to conservation or transportation facilities and to all other facilities described in this sub-article (hh) except for item (5) the land acquisition prior to December 31, 1995 for the Kern Fan Element of the Kern Water Bank."
2. Add provision to expand Article 1(hh) to include "Finance all other capital projects (i.e. projects and programs for which revenue bonds can be sold) mutually agreed upon in writing by DWR and 80% of the affected Contractors, provided that the approving Contractors' Table A amounts exceed 80% of the Table A amounts representing all affected Contractors". "Affected Contractors" means those Contractors which would be obligated to pay a share of the debt service for such project.

**XIII. OBJECTIVE 3D: BILLING AUTHORIZATION****A. Billing Authorization**

The Department, in fixing and establishing prices, rates, and charges for water and power, shall include as a reimbursable cost of any state water project an amount sufficient to repay all costs incurred by the department, directly or by contract with other agencies, for the preservation of fish and wildlife and determined to be allocable to the costs of the project works constructed for the development of that water and power, or either. Costs incurred for the enhancement of fish and wildlife or for the development of public recreation shall not be included in the prices, rates, and charges for water and power, and shall be nonreimbursable costs.

Such recreational purposes include, but are not limited to, those recreational pursuits generally associated with the out-of-doors, such as camping, picnicking, fishing, hunting, water contact sports, boating, and sightseeing, and the associated facilities of campgrounds, picnic areas,

water and sanitary facilities, parking areas, viewpoints, boat launching ramps, and any others necessary to make project land and water areas available for use by the public.

In administering this Contract “development of public recreation” shall include recreation capital and operation and maintenance.

**XIV. OBJECTIVE 4: BDCP AND DHCCP PARTICIPATION**

This Objective will not be part of the SWP contract amendment based on this AIP. Instead, Contractor participation in the BDCP and DHCCP will be addressed through a separate public negotiation and environmental review process to develop appropriate SWP water supply contract amendments. DWR has begun the administrative process that will be used to facilitate the public negotiations of such amendments. The first public negotiation session is scheduled for December 2014.

**XV. PROVISION 1 – OTHER CONTRACT PROVISIONS**

Except as amended, all provisions of the Water Supply Contract shall be and remain in full force and effect.

**XVI. PROVISION 2 – ENVIRONMENTAL REVIEW PROCESS**

DWR and the Contractors agree that this AIP is intended to be used during the environmental review process for the California Environmental Quality Act (CEQA), to define the proposed project description for the purposes of CEQA, and to permit the next steps of the water supply contract extension process, including scoping and the preparation of the EIR.

The AIP principles are not final contract language and do not represent a contractual commitment by either DWR or the Contractors to approve any proposed project, to sign contract amendments, or to extend the contract. By concurring with the AIP, DWR and the Contractors express their intent to move forward with the CEQA process with DWR as lead agency and the Contractors as responsible agencies, and ultimately develop a proposed project consisting of contractual amendments consistent with the AIP principles and prepare the EIR for consideration by DWR and the Contractors.

At the end of the CEQA process and in compliance with CEQA, DWR and the Contractors will each individually evaluate the EIR and contract amendments, exercise their independent judgment, and determine whether or not to certify the EIR, approve the proposed project and sign the contract amendments or to approve an alternative project. Consequently, even though DWR and the Contractors have agreed to the AIP for the purposes described in the preceding paragraphs, DWR and each Contractor retain their full discretion under CEQA to consider and adopt mitigation measures and alternatives, including the alternative of not going forward with the proposed project.

---

**XVII. PROVISION 3 – AUTHORIZED REPRESENTATIVE SIGNATURES**

DWR and each Contractor may express concurrence with this AIP by having their authorized representatives sign below. DWR and each Contractor will use their best efforts to make a decision whether to sign the AIP by September 1, 2014. The AIP may be signed in counterparts.

**STATE OF CALIFORNIA  
DEPARTMENT OF WATER RESOURCES**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**ALAMEDA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT, ZONE 7**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**ALAMEDA COUNTY WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**ANTELOPE VALLEY – EASTERN KERN WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**CASTAIC LAKE WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**CITY OF YUBA CITY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**COACHELLA VALLEY WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**COUNTY OF BUTTE**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**COUNTY OF KINGS**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**CRESTLINE LAKE ARROWHEAD WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**DESERT WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**DUDLEY RIDGE WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**EMPIRE WESTSIDE IRRIGATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**KERN COUNTY WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**LITTLEROCK CREEK IRRIGATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**MOJAVE WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**THE METROPOLITAN WATER DISTRICT OF SOUTHERN CALIFORNIA**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**NAPA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**OAK FLAT WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**PALMDALE WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**PLUMAS COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SAN BERNARDINO VALLEY MUNICIPAL WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SAN GABRIEL VALLEY MUNICIPAL WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SAN GORGONIO PASS WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SAN LUIS OBISPO COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SANTA BARBARA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SANTA CLARA VALLEY WATER DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**SOLANO COUNTY WATER AGENCY**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**TULARE LAKE BASIN WATER STORAGE DISTRICT**

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

**VENTURA COUNTY FLOOD CONTROL DISTRICT**

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**LAKE CASITAS RECREATION AREA**  
**Interdepartmental Memo**

DATE: August 25, 2014

TO: Steve Wickstrum, General Manager

FROM: Carol Belser, Park Services Manager

SUBJECT: **Application for State of California Department of Parks and Recreation,  
Division of Boating and Waterways Quagga and Zebra Mussel Infestation  
Prevention Grant Program**

**RECOMMENDATION**

It is recommended that the Board of Directors adopt the attached resolution and direct staff to pursue obtaining a grant from the State of California, Department of Parks and Recreation, Division of Boating and Waterways to support Quagga and Zebra Mussel infestation prevention.

**BACKGROUND AND OVERVIEW**

The California Department of Parks and Recreation, Division of Boating and Waterways launched a grant opportunity to support reservoirs in California that are not infested with Quagga and Zebra mussel with grant funds to prevent infestation through education, monitoring and management of recreational activities in reservoirs.

The grant outlines eligible projects which must have a prevention plan in place. In order for Casitas to have a plan in place a vulnerability assessment must be conducted. This grant will provide funds to support the District's efforts to complete a vulnerability assessment.

The attached resolution is administrative in nature. It empowers staff to move forward with submitting an application to the State. It does not obligate Casitas to accept funds if awarded. By adopting the attached resolution staff will be empowered to apply for funds for the vulnerability assessment. If approved, staff will move forward with submitting an application in the amount of forty thousand dollars (\$40,000.00) for the project. Grant dollars requested are not required to be matched, but Casitas will provide support funding through in-kind services.

Attachment

**CASITAS MUNICIPAL WATER DISTRICT**

**RESOLUTION NO. 14-**

**RESOLUTION AUTHORIZING STAFF TO APPLY FOR A GRANT FROM THE  
CALIFORNIA DEPARTMENT OF PARKS AND RECREATION,  
DIVISION OF BOATING AND WATERWAYS  
TO PREVENT THE INFESTATION OF QUAGGA AND ZEBRA MUSSELS  
IN LAKE CASITAS**

**WHEREAS**, the Casitas Municipal Water District has the authority to protect, operate and maintain the Lake Casitas Recreation Area and the Project Lands at Lake Casitas' perimeter; and

**WHEREAS**, the Casitas Municipal Water District is intently desirous of ensuring that Quagga and Zebra mussels are not introduced in Lake Casitas; and

**WHEREAS**, the Casitas Municipal Water District is requesting a grant not to exceed \$40,000.00 (forty thousand dollars) from the Department of Parks and Recreation, Division of Boating and Waterways; and

**WHEREAS**, the Casitas Municipal Water District desires to assess the vulnerability of Lake Casitas in relation to the introduction of the Quagga and Zebra mussels into the lake and to then prepare a Prevention Plan consistent with California Department of Fish and Wildlife Code Section 2302.

**NOW THEREFORE, BE IT RESOLVED** that the Casitas Municipal Water District, pursuant and subject to all of the terms and provisions of the Harbors and Navigation Code grant funding conditions, shall report data to the Department of Parks and Recreation Division of Boating and Waterways, as deemed appropriate by the Department, regarding dreissenid mussel prevention and inspection programs implemented with the funding; and

**BE IT FURTHER RESOLVED** that the Board of Directors of the Casitas Municipal Water District authorize and direct the General Manager, in the name of Casitas Municipal Water District, to execute an application and authorize, as applicable, execution of a contract and processing of any claims for payment or reimbursement from the State of California, Department of Parks and Recreation, Division of Boating and Waterways funds for Quagga and Zebra mussel infestation prevention.

**ADOPTED** this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

\_\_\_\_\_  
Bill Hicks, President  
Casitas Municipal Water District

ATTEST:

\_\_\_\_\_  
Pete Kaiser, Secretary  
Casitas Municipal Water District

CASITAS MUNICIPAL WATER DISTRICT  
Inter-Office Memorandum

DATE: September 3, 2014  
TO: Board of Directors  
FROM: General Manager, Steve Wickstrum  
Re: Consideration of Board Compensation Adjustment

**RECOMMENDATION:**

It is recommended that the Board of Directors consider whether or not to schedule a public hearing for the purpose of discussion and possible adjustment to the Board compensation for meeting attendance.

**BACKGROUND:**

The Board of Directors are currently paid for a maximum of 10 meetings per month at the rate of \$171.06 per meeting. This rate of meeting pay was last adjusted in 2001 and has not been adjusted since that time. As provided by California Water Code 20202, the Board may make an annual adjustment to the meeting compensation at a not to exceed amount equal to 5 percent, for each calendar year following the operative date of the last adjustment.

The Executive Committee has reviewed the attached comparison of similar board meeting compensations and suggests that an adjustment be made to the Casitas Board compensation in the amount of an additional 5 percent to the current rate of pay. This action, if the Board of Directors decides to do so, would move the meeting compensation from \$171.06 to \$179.61.

The process for approving an increase to Director Compensation is to first adopt a resolution to schedule a public hearing for the purpose of hearing all interested parties as to increasing the compensation authorized to be paid to Directors of Casitas. After the public hearing the Board may decide to increase compensation by the adoption of an ordinance which will not go in to effect until 60 days following the adoption of the ordinance.

### **Board Compensation Comparison June 2014**

Casitas	\$171.06 per meeting	Max 10 meetings per month
Calleguas	\$200.00 per meeting	Max 10 meetings per month
Carpinteria	\$100 per meeting	Max 6 meetings per month
Castaic	\$224.25 per meeting	Max 10 meetings per month
Goleta	\$200 per meeting	Max 10 meetings per month
Las Virgenes	\$200 per meeting	Max 10 meetings per month
Montecito	\$110 per month	Max 10 meetings per month
Ojai San	\$175 regular meeting \$ 87.50 committee meeting	
United	\$187.00 per meeting	Max 10 meetings per month

Per California Water Code 20202, an increase in board compensation may not exceed an amount equal to 5 percent, for each calendar year following the operative date of the last adjustment. An increase to board compensation is only done by adoption of an ordinance which requires a public hearing, noticed in the paper. The ordinance is not effective until 60 days from the date of final passage. Voters of the district have the right to petition protesting the adoption of the ordinance.

CASITAS MUNICIPAL WATER DISTRICT

RESOLUTION SETTING THE TIME AND PLACE OF A PUBLIC HEARING FOR  
INCREASING COMPENSATION AUTHORIZED TO BE PAID TO THE DIRECTORS  
OF THE CASITAS MUNICIPAL WATER DISTRICT

WHEREAS, Water Code Section 20200, et seq. provides for an annual increase in compensation not to exceed five percent (5%) per meeting day to be paid to the Directors of the Casitas Municipal Water District; and

WHEREAS, compensation for the Casitas Board of Directors was last changed effective February 12, 2001; and

WHEREAS, a public hearing must be held to discuss director compensation.

NOW, THEREFORE, Be IT RESOLVED by the Board of Directors of the Casitas Municipal Water District as follows:

1. A public hearing will be conducted for the purpose of hearing all interested parties as to increasing the compensation authorized to be paid to the Board of Directors of Casitas.
2. The place of said hearing is hereby fixed at Casitas' Office, 1055 Ventura Avenue, in the town of Oak View. The date and time for said hearing is hereby fixed as October 8, 2014 at 3:00 p.m.
3. The Clerk of the Board is hereby directed to give notice of said hearing by publishing notice of the time and place of the hearing as required by law.

ADOPTED this 10<sup>th</sup> day of September, 2014.

---

Bill Hicks, President  
Casitas Municipal Water District

ATTEST:

---

Pete Kaiser, Secretary  
Casitas Municipal Water District

CASITAS MUNICIPAL WATER DISTRICT  
Inter-Office Memorandum

DATE: September 3, 2014

TO: Board of Directors

FROM: General Manager, Steve Wickstrum

Re: Revised Call for nominations – Ventura County LAFCo Independent Special District Alternate Member

**RECOMMENDATION:**

It is recommended that the Board of Directors consider whether or not to propose a nomination.

**BACKGROUND:**

LAFCo is calling for nominations for a special district alternate member, with a submittal of a nomination no later than October 3, 2014 and elections for the seat to occur on December 5, 2014. The alternate member seat is a four-year term.

At this time, the call for nominations is being presented to the Board to determine if there is any interest by a Casitas director to fill the seat. If there is no interest, there will be no further action. If there is interest, this item can come back to the Board on September 24th for a nomination and vote.



## VENTURA LOCAL AGENCY FORMATION COMMISSION

COUNTY GOVERNMENT CENTER • HALL OF ADMINISTRATION

800 S. VICTORIA AVENUE • VENTURA, CA 93009-1850

TEL (805) 654-2576 • FAX (805) 477-7101

WWW.VENTURA.LAFCO.CA.GOV

August 6, 2014

Chair of the Board  
Casitas Municipal Water District  
1055 Ventura Avenue  
Oak View CA 93022

**RE: REVISED CALL FOR NOMINATIONS – Ventura LAFCo Independent Special District Alternate Member**

Dear Chair of the Board:

As explained in more detail in the attached documents, the alternate special district member seat for the Ventura LAFCo will be vacated at the end of this year. In anticipation of this vacancy, LAFCo is conducting an election of the independent special districts to fill this vacant seat for the upcoming four-year term beginning in January 2015.

In June, we sent out a call for nominations and an election schedule. However, we have been informed that some special districts who requested to receive election materials by e-mail did not receive the call for nominations. Therefore, to ensure that all independent special districts are given an opportunity to nominate a board member for the vacant seat, we are sending out a Revised Call for Nominations and revised election schedule (attached). All nominations already received will remain valid. Thus, for those districts that have already nominated a board member, there is no need to do so again.

Thank you for your attention to this matter. We encourage all interested districts to participate in the election process. Please let me know if further information is desired.

Sincerely,

Kai Luoma  
Executive Officer

c: General Manager



## VENTURA LOCAL AGENCY FORMATION COMMISSION

COUNTY GOVERNMENT CENTER • HALL OF ADMINISTRATION

800 S. VICTORIA AVENUE • VENTURA, CA 93009-1850

TEL (805) 654-2576 • FAX (805) 477-7101

WWW.VENTURA.LAFCO.CA.GOV

### REVISED CALL FOR NOMINATIONS SPECIAL DISTRICT ALTERNATE MEMBER

August 6, 2014

Chair of the Board  
Casitas Municipal Water District  
1055 Ventura Avenue  
Oak View CA 93022

**RE: CALL FOR NOMINATIONS – Ventura LAFCo Independent Special District  
Alternate Member**

Dear Chair of the Board:

The term of one of the two special district members of LAFCo, formerly held by Gail Pringle, expires on January 1, 2015. The basic process for selecting special district members to LAFCo is set forth in Government Code §56332. In Ventura County, the Independent Special District Selection Committee (VCISDSC), comprised of representatives from each of the 29 independent special districts in Ventura County, has adopted a separate set of Rules and Regulations that include provisions about how elections for LAFCo vacancies are to be conducted.

The Rules and Regulations of the VCISDSC provide that when a LAFCo special district member completes his/her term of office, the alternate special district member shall automatically become a special district regular member of LAFCo for a new four-year term. Thus, based on the VCISDSC Rules and Regulations, Alternate Commissioner Elaine Freeman will become a regular member starting a new four-year term on January 1, 2015. As such, it is necessary to select a special district alternate member to begin a new term beginning on January 1, 2015.

Based on Government Code §56332 and the VCISDSC Rules and Regulations, I have determined that a meeting of the VCISDSC for the purpose of selecting a special district alternate member is not feasible due to the likelihood that a quorum will not be achieved. Thus, both the nominating process and the election itself will be conducted by mail (some special districts have consented to conducting the election via electronic mail).

Your district is encouraged to participate in this election process. A schedule is enclosed together with a list of the 29 independent special districts in Ventura County that are eligible to participate. This list also identifies those districts that have consented to conducting the election via email. Because special district alternate members automatically become

Chair of the Board, Casitas Municipal Water District  
REVISED-CALL FOR NOMINATIONS – Ventura LAFCo Special District Alternate  
Member  
August 6, 2014  
Page 2

regular members upon the completion of a regular member term of office, the new alternate member likely will become a regular member on January 1, 2017 when the term of Commissioner Bruce Dandy expires.

If your district wishes to nominate a Board member to be a candidate for the LAFCo special district alternate member, the VCISDSC Rules and Regulations provide that:

1. Your Board may nominate only one candidate.
2. The nomination specifying the name of the nominee must be by a written resolution approved by a majority of your Board (sample resolution enclosed)
3. The nominating resolution and a candidate's statement or resume of no more than 1 page must be returned to Kai Luoma, Executive Officer, at Ventura LAFCo via certified mail (or via email for those districts that have previously consented)

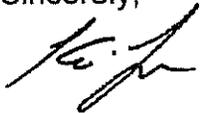
The deadline for submitting nominating resolutions and candidate's statements/resumes is 5 P.M., Friday, October 3, 2014. Any nomination that does not comply with the VCISDSC requirements or is submitted after the deadline will not be considered.

General information about LAFCo, including information about the current Commission and terms of office, is available at the Ventura LAFCo web site at [www.ventura.lafco.ca.gov](http://www.ventura.lafco.ca.gov). Special district alternate members of LAFCo can participate fully in LAFCo proceedings, but they cannot vote except in the absence of, or due to the abstention of, one of the two special district regular members. In addition, special district members who vacate their position on the board of their special district automatically vacate their LAFCo position.

Alternate members are expected to attend all LAFCo meetings. There are approximately 10 regular LAFCo meetings each year with special meetings scheduled as necessary. Regular LAFCo meetings start at 9:00 a.m. on the third Wednesday of each month, except for June, when the Commission's regular meeting is scheduled for the second Wednesday of the month. The Commission is typically dark in August and December.

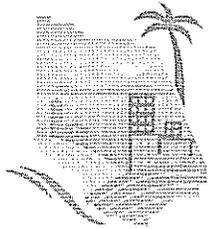
Thank you for your attention to this matter. Please let me know if further information is desired.

Sincerely,



Kai Luoma  
Executive Officer

c: General Manager



# VENTURA LOCAL AGENCY FORMATION COMMISSION

COUNTY GOVERNMENT CENTER • HALL OF ADMINISTRATION

800 S. VICTORIA AVENUE • VENTURA, CA 93009-1850

TEL (805) 654-2576 • FAX (805) 477-7101

WWW.VENTURA.LAFCO.CA.GOV

## REVISED ELECTION SCHEDULE

### 2014 LAFCo Independent Special Districts Alternate Member

LAFCo call for elections letter mailed via certified mail (or via email to those special districts that have provided consent)	No Later than Friday, August 8, 2014
Nominations due to LAFCo Executive Officer via certified mail (or via email from those special districts that have provided consent). Must include Board nominating resolution and candidate's statement or resume.	By 5:00 P.M., Friday, October 3, 2014
Ballots mailed from LAFCo via certified mail (or via email to those special districts that have provided consent)	No later than Friday, October 17, 2014
Election Day - Ballots due to LAFCo Executive Officer via certified mail (or via email from those district that have provided consent)	By 5:00 P.M., Friday, December 5, 2014
Election results mailed from LAFCo via certified mail (or via email to those special districts that have provided consent)	No later than Friday, December 12, 2014

CASITAS MUNICIPAL WATER DISTRICT  
Inter-Office Memorandum

DATE: September 2, 2014  
TO: Board of Directors  
FROM: General Manager, Steve Wickstrum  
Re: Executive Committee Meeting of September 2, 2014

**RECOMMENDATION:**

It is recommended that the Board of Directors receive and file this report.

**MEETING:**

1. **Roll Call.** Directors Mary Bergen and Bill Hicks  
Steve Wickstrum, General Manager
2. **Public Comments.** None.
3. **Board/Manager comments.**  
The Committee was informed that the water quality of Lake Casitas is beginning to show signs of taste and odor. Staff will perform the public notification of the problem.
4. **Ventura LAFCo Call for Nominations Independent Special District Alternate Member.**  
The Committee reviewed the LAFCo request for nominations and will move to the Board for consideration.
5. **Discussion regarding Board Compensation.**  
The Committee reviewed a new comparison of Board compensation for various water agencies in Ventura and Santa Barbara Counties. It is recognized that the Board last approved an increase in compensation in February 2001, 13 years ago. The Committee suggested that a five (5) percent increase in the meeting rate be considered by the Board. This topic will be moved forward to the Board.
6. **Discussion regarding Board meeting schedule in November and December.**  
The Committee reviewed the schedule of regularly scheduled Board meetings for November and December 2014. It was suggested that the November 26<sup>th</sup> meeting has in the past been rescheduled to a time that is early in the day. The December 24<sup>th</sup> meeting is on a holiday and could be cancelled. This topic will be moved to the Board for consideration.



**Consumption Report**

**Water Sales FY 2014-2015 (Acre-Feet)**

Classification	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Month to Date		
													2014 / 2015	2013 / 2014	
													Total	Total	
AD Ag-Domestic	391	706												1097	1051
AG Ag	341	518												859	834
C Commercial	91	99												190	193
DI Interdepartmental	18	3												21	25
F fire	0	0												0	0
I Industrial	1	6												7	4
OT Other	27	34												61	75
R Residential	212	132												344	363
RS - P Resale Pumped	68	174												242	317
RS - G Resale Gravity	548	608												1156	1060
TE Temporary	2	8												10	2
<b>Total</b>	1699	2288	0	0	0	0	0	0	0	0	0	0	0	<b>3,987</b>	3,924
<b>Total 2013/2014</b>	2014	1910	2301	2122	1951	1437	1145	1713	1022	765	1003	1710		<b>N/A</b>	<b>19093</b>

**Casitas Municipal Water District**  
**CFD No. 2013-1 (Ojai) - Monthly Cost Analysis**  
**2014/2015**



09/03/2014

	<b>Services &amp; Suplies</b>	<b>Legal Fees</b>	<b>Labor Expense</b>	<b>Other Services</b>	<b>Total Expenses</b>
<b>2011 / 2012</b>	<b>-289.50</b>	<b>42,560.00</b>	<b>11,098.37</b>	<b>0.00</b>	<b>53,368.87</b>
<b>2012 / 2013</b>	<b>831.82</b>	<b>223,462.77</b>	<b>14,836.68</b>	<b>0.00</b>	<b>239,131.27</b>
<b>2013 / 2014</b>	<b>29.89</b>	<b>91,878.06</b>	<b>3,835.65</b>	<b>0.00</b>	<b>95,743.60</b>
July	0.00	0.00	0.00	0.00	0.00
August	0.00	840.00	0.00	0.00	840.00
September	0.00	0.00	0.00	0.00	0.00
October	0.00	0.00	0.00	0.00	0.00
November	0.00	0.00	0.00	0.00	0.00
December	0.00	0.00	0.00	0.00	0.00
January	0.00	0.00	0.00	0.00	0.00
Feburary	0.00	0.00	0.00	0.00	0.00
March	0.00	0.00	0.00	0.00	0.00
April	0.00	0.00	0.00	0.00	0.00
May	0.00	0.00	0.00	0.00	0.00
June	0.00	0.00	0.00	0.00	0.00
<b>Total Cost YTD</b>	<b>0.00</b>	<b>840.00</b>	<b>0.00</b>	<b>0.00</b>	<b>840.00</b>
<b>Total Project Cost</b>	<b>572.21</b>	<b>358,740.83</b>	<b>29,770.70</b>	<b>0.00</b>	<b>389,083.74</b>

**CASITAS MUNICIPAL WATER DISTRICT  
TREASURER'S MONTHLY REPORT OF INVESTMENTS  
09/03/14**

Type of Invest	Institution	CUSIP	Date of Maturity	Adjusted Cost	Current Mkt Value	Rate of Interest	Date of Deposit	% of Portfolio	Days to Maturity
*TB	Federal Home Loan Bank	313379EE5	06/14/2019	\$1,381,315	\$1,336,095	1.625%	10/03/2012	9.76%	1721
*TB	Federal Home Loan Bank	313379RN1	12/27/2024	\$978,364	\$955,707	3.027%	06/18/2014	6.98%	3714
*TB	Federal Home Loan Bank	313380A98	08/14/2024	\$126,966	\$124,980	2.500%	07/03/2014	0.91%	3581
*TB	Federal Home Loan Bank	313380S73	10/11/2022	\$699,720	\$676,319	2.430%	08/11/2014	4.94%	2918
*TB	Federal Home Loan Bank	3133XF2	06/11/2021	\$709,203	\$673,243	5.625%	01/16/2013	4.92%	2438
*TB	Federal Home Loan Bank	3133XLWN1	09/12/2014	\$931,032	\$931,200	5.250%	04/01/2013	6.80%	9
*TB	Federal Home Loan Bank	3133XWNB10	06/12/2015	\$704,808	\$714,644	2.875%	07/01/2010	5.22%	279
*TB	Federal Home Loan Bank	3134A4VG60	11/17/2015	\$723,884	\$737,744	4.750%	07/19/2010	5.39%	434
*TB	Federal Home Loan MTG Corp	3134G43A4	10/30/2024	\$849,441	\$829,546	2.500%	07/03/2014	6.06%	3657
*TB	Federal Home Loan MTG Corp	3135G0ES80	11/15/2016	\$689,530	\$692,582	1.375%	03/12/2012	5.06%	792
*TB	Federal Home Loan MTG Corp	3137EABA60	11/17/2017	\$1,117,216	\$1,123,760	5.125%	01/03/2012	8.21%	1154
*TB	Federal Home Loan MTG Corp	3137EADB2	01/13/2022	\$208,690	\$200,184	2.375%	02/11/2013	1.46%	2650
*TB	Federal Natl MTG Assn	31398AAY20	09/16/2014	\$700,319	\$700,735	3.000%	07/01/2010	5.12%	13
*TB	US Treasury Inflation Index NTS	912828JE10	07/15/2018	\$1,153,349	\$1,188,254	1.375%	07/06/2010	8.68%	1392
*TB	US Treasury Notes	912828LZ10	11/30/2014	\$701,005	\$703,472	2.125%	07/01/2010	5.14%	87
*TB	US Treasury Inflation Index NTS	912828MF40	01/15/2020	\$1,138,029	\$1,191,115	1.375%	07/01/2010	8.70%	1932
*TB	US Treasury Bond	912828WE6	11/15/2023	\$769,722	\$789,442	2.613%	12/13/2013	5.77%	3312
Accrued Interest					\$124,307				
<b>Total in Gov't Sec. (11-00-1055-00&amp;1065)</b>				<b>\$13,582,592</b>	<b>\$13,693,329</b>			<b>79.05%</b>	
*CD	cit Salt Lake City UT - CD		12/18/2023	\$245,000	\$243,175	3.30%			
*CD	Discover BK Greenwood DE - CD		01/16/2024	\$239,000	\$235,456	3.20%			
*CD	GE Capital - CD Draper, UT		09/27/2023	\$245,000	\$243,091	3.25%			
*CD	GE Capital - CD Salt Lake City, UT		09/27/2023	\$245,000	\$241,190	3.15%			
*CD	Goldman Sachs - CD New York, NY		10/30/2023	\$224,000	\$244,038	3.25%			
*CD	Toyota Financial Services - CD -Henderson NV		12/20/2023	\$245,000	\$243,305	3.30%			
<b>Total Certificates of Deposit: (11.13506)</b>				<b>\$1,443,000</b>	<b>\$1,450,255</b>			<b>8.37%</b>	
**	<b>LAIF as of: (11-00-1050-00)</b>		N/A	<b>\$446</b>	<b>\$446</b>	0.23%	Estimated	<b>0.00%</b>	
***	<b>COVI as of: (11-00-1060-00)</b>		N/A	<b>\$2,178,040</b>	<b>\$2,178,040</b>	0.35%	Estimated	<b>12.57%</b>	
<b>TOTAL FUNDS INVESTED</b>				<b>\$17,204,078</b>	<b>\$17,322,070</b>			<b>100.00%</b>	
Total Funds Invested last report				\$18,204,904	\$18,435,440				
Total Funds Invested 1 Yr. Ago				\$18,178,595	\$18,281,510				
****	<b>CASH IN BANK (11-00-1000-00) EST.</b>			<b>\$4,936,155</b>	<b>\$4,936,155</b>				
	<b>CASH IN Western Asset Money Market</b>			<b>\$1,005,964</b>	<b>\$1,005,964</b>	0.01%			
<b>TOTAL CASH &amp; INVESTMENTS</b>				<b>\$23,146,197</b>	<b>\$23,264,189</b>				
TOTAL CASH & INVESTMENTS 1 YR AGO				\$19,886,568	\$19,989,483				

- \*CD CD - Certificate of Deposit
- \*TB TB - Federal Treasury Bonds or Bills
- \*\* Local Agency Investment Fund
- \*\*\* County of Ventura Investment Fund
- \*\*\*\* Estimated interest rate, actual not due at present time.
- \*\*\*\* Cash in bank

No investments were made pursuant to subdivision (i) of Section 53601, Section 53601.1 and subdivision (i) Section 53635 of the Government Code.

All investments were made in accordance with the Treasurer's annual statement of investment policy.