



REQUEST FOR QUALIFICATIONS FOR PIPELINE CONTRACTORS FOR 2019

ADDENDUM NO. 1
October 24, 2018

Item 1. PREQUALIFICATION QUESTIONNAIRE INSTRUCTIONS

Second sentence should read "Contractors must receive a passing score in each of the three categories to be considered."

Item 2. PREQUALIFICATION QUESTIONNAIRE INSTRUCTIONS

Third sentence should read "For Part III, the District will interview two project owners, randomly selected from information in Part III."

Item 3. SCORES NEEDED FOR PREQUALIFICATION

Attached to Addendum No. 1 for reference.



**REQUEST FOR QUALIFICATIONS (RFQ)
FOR PIPELINE CONTRACTORS FOR 2019**

SCORES NEEDED FOR PREQUALIFICATION

The scorable questions occur in the following areas in Part II of the RFQ:

- History of the business and organizational performance;
- Compliance with occupational safety and health laws, workers' compensation and other labor legislation

Not all questions in the questionnaire are scorable; some questions simply ask for information about the contractor firm's structure, officers and history. This document includes only those questions that are "scorable." The question numbers in this document correspond to the numbers used in the questionnaire. Thus, the questions included here begin with question number 6, and there are a few breaks in the numerical sequence.

To prequalify, a contractor is required to have a passing grade as shown below.

Part No.	Description	Points Possible	Passing Score
II	Organization, History, Organizational Performance, Compliance with Civil and Criminal Law	129	90

B. History of the Business and Organizational Performance (16 questions)

6. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ years

- 0 to 3 years = 0 points***
3 years and < 4 years = 2 points
4 years and < 5 years = 3 points
5 years and < 6 years = 4 points
6 years or more = 5 points

7. Is your firm currently the debtor in a bankruptcy case?
 Yes No

- Yes = 0 points***
No = 3 points



8. Was your firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 7, above).
 Yes No

Yes = 0 points
No = 3 points

13. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?
 Yes No

Yes = 0 points
No = 5 points

14. At any time in the last five years, has your firm been assessed and paid liquidated damages after completion of a project, under a construction contract with either a public or private owner?
 Yes No

No projects with liquidated damages of more than \$10,000 = 5 points
Only one project with liquidated damages more than \$10,000 = 3 points
Two projects with liquidated damages of more than \$10,000 = 1 point
Any other answer: no points

15. In the last five years has your firm, or any firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?
 Yes No

Yes = 0 points
No = 5 points

16. In the last five years, has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?
 Yes No

Yes = 0 points
No = 5 points

17. In the past five years, has any claim **against** your firm concerning your firm's work on a construction project, been **filed in court or arbitration?**
 Yes No

5 points for either "No" or "Yes" indicating 1 such instance
3 points for "Yes" if 2 such instances
0 points for "Yes" indicating more than 2 such instances



18. In the past five years, has your firm made any claim against a project owner concerning work on a project or payment for a contract, and **filed that claim in court or arbitration?**

Yes No

5 points for either "No" or "Yes" indicating 1 such instances
3 points for "Yes" indicating either 2 or 3 such instances
0 points for "Yes" indicating more than 3 such instances

19. At any time during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf in connection with a construction project, either public or private?

Yes No

5 points for "No"
3 points for "Yes" indicating no more than 1 such claim
Subtract five points for "Yes" if more than 1 such claim

20. In the last five years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes No

5 points for either "No" or "Yes" indicating 1 such instance
3 points for "Yes" indicating 2 such instances
0 points for "Yes" indicating more than 2 such instances

21. Has your firm, or any of its owners, officers, or partners ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?

Yes No

Yes = subtract 5 points
No = 5 points

22. Has your firm, or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

Yes = subtract 5 points
No = 5 points



23. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
 Yes No

Yes = subtract 5 points
No = 5 points

25. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

5 points if the rate is no more than one percent
3 points if the rate was greater than 1.0 percent and no higher than 1.10 percent
0 points for any other answer

27. During the last five years, has your firm ever been denied bond credit by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?
 Yes No

Yes = 0 points
No = 5 points

Compliance with safety, workers compensation, prevailing wage and apprenticeship laws
(11 questions)

28. Has CAL OSHA cited and assessed penalties against your firm for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?
 Yes No

5 points for either "No" or "Yes" indicating 1 such instance
3 points for "Yes" indicating 2 such instances
0 points for "Yes" if more than 2 such instances

29. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?
 Yes No

5 points for either "No" or "Yes" indicating 1 such instance
3 points for "Yes" indicating 2 such instances
0 points for "Yes" indicating more than 2 such instances



30. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?
 Yes No

5 points for either "No" or "Yes" indicating 1 such instance
3 points for "Yes" indicating 2 such instances
0 points for "Yes" indicating more than 2 such instances

31. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

3 points for an answer of once each week or more often
0 points for any other answer

32. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

5 points for three-year average EMR of 0.95 or less
3 points for three-year average of EMR of more than 0.95 but no more than 1.00
0 points for any other EMR

33. Within the last five years, has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?
 Yes No

5 points for either "No" or "Yes" indicating 1 such instance
0 points for any other answer

34. Has there been more than one occasion during the last five years on which your firm was required to pay either back wages or penalties for your own firm's failure to comply with the **state's** prevailing wage laws?
 Yes No

5 points for either "No," or "Yes" indicating either 1 or 2 such instances
3 points for "Yes" indicating 3 such instances
0 points for "Yes" indicating more than 3 such instances

35. During the last five years, has there been more than one occasion on which your own firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?
 Yes No

5 points for either "No," or "Yes" indicating either 1 or 2 such instances
3 points for "Yes" indicating 3 such instances
0 points for "Yes" indicating more than 3 such instances



36. Provide the **name, address and telephone number** of the apprenticeship program sponsor(s) (approved by the California Division of Apprenticeship Standards) that will provide apprentices to your company for use on any public work project for which you are awarded a contract by Casitas.

**5 points if at least one approved apprenticeship program is listed.
0 points for any other answer.**

37. If your firm operates its own State-approved apprenticeship program:

- (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

5 points if one or more persons completed an approved apprenticeship while employed by your firm.

0 points if no persons completed an approved apprenticeship while employer by your firm.

38. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No.

5 points for either "No," or "Yes" indicating either 1 or 2 such instance.

3 points for "Yes" indicating 3 such instances.

0 points for "Yes" indicating more than 3 such instances.